Webinar: Is your company ready for the IRIS Certification™ rev.03 transition phase?

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Brussels
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Agenda

➢ Strategy and evolutions
➢ Transition phase
➢ Next steps
Strategy and evolutions
Strategy

The reason for existence

IRIS Certification™ with ISO:
- Develop ISO / TS to ISO
- Create ISO for Certification Methodology
- Keep control over certification process in IRIS Certification™ at sector level

Main Target:
- Ensure that certification level corresponds to quality performance.

Further Targets:
- Worldwide acknowledgement and application of IRIS Certification™ in entire value chain
- Efficient internal organisation

IRIS Certification™ Promotion and Development:
- Create and maintain a worldwide customer panel
- Foster position of IRIS Certification™
- Establish robust benefit logic for IRIS Certification™ and promote

IRIS Certification™ Operation:
- Professional, transparent, cost efficient
- Ensure continuous improvement of the standard
- Ensure qualified auditors group to reach goals

The need of Operators and the entire supply chain of railway systems for a quality management system which certificates indicate clearly the quality performance level of the certified organisation

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New concept adds performance dimensions and awards three certification levels

- PROMISE FOR PRODUCT QUALITY

### Enablers
- **Audit procedure**
  - Suitability of the Management System
  - Grade of implementation
- **Covered topics e.g.**
  - V Model, PDCA, Risk-based thinking/assessment, APQP
  - Project mgmt, product safety
  - Knowledge mgmt, RAMS/LCC, return of experience

### Performance / Results
- **Audit procedure**
  - Evaluation of Quality
  - Performance / RESULTS
  - open books policy
  - Good RESULTS

### Certificate
- IRIS Certificate
  - Performance meeting stakeholder needs
  - Gold
  - Silver
  - Bronze
Acceptance

OPERATORS TO USE NEW IRIS CERTIFICATION™ AS BASE PREREQUISITE FOR SUPPLIER SELECTION

„ACCEPTANCE BY OPERATORS“
Performance evaluation - meeting stakeholder expectations

Input

Process

- Description
- Regulation

Process Participants

Process Owner
- Risks & Opps

Results

- Define Process Indicators
- Performance evaluation

Stakeholder
- complete?
- relevant?

Adherence
- in spite of?
- in consequence of?

Process Targets
- consistent?
- prioritized?

Requirements

Performance Data

Performance Requirements

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IRIS Certification™ - system

ISO/TS 22163:2017
Railway applications - Quality management system - Business management system requirements for rail organisations: ISO 9001:2015 and particular requirements for application in the rail sector

IRIS Certification™ rules:2017
Rules for achieving and maintaining IRIS Certification™ recognition

IRIS Certification™ Technology

- Audit-Tool
- Portal
- Database

REFERENCE SYSTEM

TOOLS

SYSTEM

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Translations - status

ISO/TS 22163:2017

Published:
- English - May 2017
- French - May 2017
- Russian - August 2017
- Italian - December 2017
- Hungarian - December 2017
- German - January 2018

In preparation:
- Spanish
- Japanese

IRIS Certification™ rules:2017

Published:
- English
- French
- Russian
- Hungarian
- German
- Italian
- Spanish

Assessment sheet in Audit-Tool

Published:
- English

In preparation for Q1/2018:
- French
- Russian
- German
IRIS elements integrated in ISO high level structure
FOCUS: Leadership

New concept

Major impact on

Standard
Organisations
Responsibility management
....

Structuring element for development

➢ Obligation of means => obligation of results

➢ Pre-requisite for performance management

➢ Important evolutions for audits:
  ❖ Internal
  ❖ Customer
  ❖ Certification

ISO 9001:2015 – Technical evolutions
Transition phase
By when the transition to the new ISO/TS shall be done?

All organizations currently certified according to IRIS rev. 02 shall successfully make the transition by 14th September 2018.

The transition phase started on June 1st, 2017.
1) All IRIS rev. 02 issued certificates are valid until 14th September 2018 latest.

2) All the IRIS certificates are automatically generated by the system with a validity of three years.

As a consequence of pt. 1 above, all IRIS certificates having a validity after September, 14th 2018 will expire at this date, independent if a later date is stated on the certificate document.

3) No further notice will be given to the organizations or certification bodies.
What is the transition process? (1/3)

The transition process includes the following steps:

1. Transition audit composed by
   1. readiness review
   2. certification audit
2. Nonconformity management
What is the transition process? (2/3)

**Option 1:**
transition process within their current IRIS rev. 02 audit cycle i.e. at the next planned surveillance or re-certification audit date.
What is the transition process? (3/3)

**Option 2:**
Transition process at anytime i.e. outside the surveillance and re-certification audit cycle.

Outside surveillance audit cycle

- **Missed surveillance audit:** 1\textsuperscript{st} surveillance or 2\textsuperscript{nd} surveillance
- **Missed re-certification audit:**

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Note: The transition phase finishes on September, 14\textsuperscript{th} 2018. All audit cycles started afterwards are considered as certification audits without reduction of time.
Only during the transition phase, all validly IRIS Rev.02.1 certified companies can get:

a reduction of the on-site activities time of max. 20% of the auditor time for certification audit
Successful completion of the transition process

- Upon successful completion of the transition process the organization shall be issued a:
  - new IRIS certificate with a three (3) year minus one (1) day validity period and respecting the rules for the reference date
  - Quality performance level statement with a one (1) year minus one (1) day validity period and respecting the rules for the reference date

- A new certificate and audit cycle shall then commence.
as of 15th March 2018, the IRIS approved certification bodies shall conduct only IRIS rev. 03 audits (transition, initial certification, surveillance and re-certification audits).

furthermore, all transition audits shall be conducted until September 14th, 2018.

In both above cases, the closure of potential corrective actions could be over these dates by respecting the reference date and within ninety (90) days. The IRIS Certificate rev. 03 validity will start after positive closure of the potential corrective actions.

Despite this alignment the rules for the validity of the IRIS rev. 02 are still valid:

All IRIS certificates having a validity after September, 14th 2018 will expire at this date, independent if a later date is stated on the certificate document.
Transition timeline

START
JUNE, 1st 2017

MAY, 24th
2017
ISO/TS 22163:2017 launched

IRIS Certification™ rules:2017 launched

START of transition phase

31st DEC 2017

14th MARCH 2018 (*)

15th MARCH 2018

END of transition phase

CERTIFICATION acc. ISO/TS 22163:2017

Transition audit acc. ISO/TS 22163:2017

Certification audit acc. ISO/TS 22163:2017

Certification audit acc. IRIS rev.02

Re-certification and Surveillance audit acc. IRIS rev.02 PERFORMED

END
SEPT, 14th 2018 (*)

(*) the closure of potential corrective actions could be over these dates by respecting the reference date and within ninety (90) days.
Next steps
➢ ISO/TC 269 WG 5 will work to move to a full ISO Standard
  ➢ Start of the WG on November, 24th 2017
  ➢ Next meeting March 25th and 27th 2018

➢ UNIFE/IMC will monitor the transition phase to
  ➢ Ensure that the rules are respected
  ➢ Assess the maturity of the industry

➢ Stakeholders will prepare their next actions based on the achievements
➢ Take decision for the transition phase
  ➢ Option 1 or Option 2
  ➢ Are you ready for the transition phase?
  ➢ Internal preparation

➢ Contact and agree with your certification body
  ➢ Planning and scheduling of your transition audit
  ➢ Scheduling and ensuring the availability of the qualified auditors

Hurry up, time is running
Schedule your transition audit NOW
Otherwise you may not get it, due to auditors availability and
Your IRIS Certification™ will EXPIRE!
Thank you